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NAME:	FACSIMILE:	TELEPHONE:
<b>M/S PETITION</b> Director - U.S. Patent and Trademark Office Alexandria, VA 22313-1450	<b>571-273-8300</b>	<b>(571) 272-3350</b>

**FROM: MELODY Y. GREEN****DATE:****January 3, 2007**

Number of pages with cover page:	<b>11</b>	U.S. Application No.: 10/602,041 Inventor: Yasuhiro CHONO  <b>Our reference: 19937-20051.00</b>
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**Comments:**

Please find the attached documents:

1. Request For Correction of Inventorship Pursuant to 35 U.S.C. 116 & 37 C.F.R. § 1.48(A) - (2 pp);
2. Processing Fee Under 37 CFR 1.17(i) Transmittal (w/duplicate copy), with authorization to charge \$130.00 to Deposit Account (1 pg);
3. Statement in Support of Petition to Correct Inventorship, signed by inventor (1 pg);
4. Consent of Assignee to Correct Inventorship with copy of Supplemental Assignment (3 pp); and
5. Supplemental Combined Declaration and Power of Attorney (3 pp)

**IF YOU DO NOT RECEIVE ALL OF THE PAGES, PLEASE CALL  
MELODY Y. GREEN AT 213-892-5664 AS SOON AS POSSIBLE.**

LA-870677

I hereby certify that this correspondence (along with any paper referred to as being attached or enclosed) is being transmitted by facsimile to the Patent and Trademark Office, facsimile no. (571) 273-8300, and is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: MS Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.

Dated: January 3, 2007 Signature:

(Wendy Y. Greb)

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JAN 03 2007

Docket No.: 199372005100  
(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Yasuhiro CHONO et al.

Application No.: 10/602,041

Confirmation No.: 9511

Filed: June 23, 2003

Art Unit: 1763

For: SUBSTRATE PROCESSING SYSTEM AND  
SUBSTRATE PROCESSING METHOD

Examiner: Jeffrie Robert Lund

**REQUEST FOR CORRECTION OF INVENTORSHIP  
PURSUANT TO 35 U.S.C. 116 & 37 C.F.R. § 1.48(A)**Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The presently named applicant, Yasuhiro CHONO, hereby petitions the Commissioner to amend the above captioned application to delete the name of Norihiro ITO as joint inventor. Enclosed are the following:

1. A statement from each person being deleted as inventor that the error in inventorship occurred without deceptive intent on his/her part.
2. An oath or declaration by the actual inventor or inventors as required by § 1.63.
3. The written consent of the assignee, Tokyo Electron Limited
4. Copy of Assignment to Tokyo Electron Limited signed by the Inventor.
5. The fee set forth in 37 C.F.R. § 1.17(i) of \$130.00.

☐ is enclosed

01/04/2007 TL0111 00000052 031952 10602041

01 FC:1464 130.00 DA

la-897688

Application No.: 10/602,041

2

Docket No.: 199372005100

☒ is authorized to be charged to Deposit Account 03-1952. A Fee Transmittal (form PTO/SB/17) is enclosed in duplicate for that purpose.

It is believed that Applicant has complied with requirements and correction of the inventorship in the present application is respectfully requested.

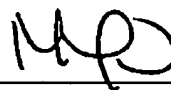
Dated: January 3, 2007

Respectfully submitted,

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JAN 03 2007

By



Mehran Arjomand

Registration No.: 48,231

MORRISON &amp; FOERSTER LLP

555 W. Fifth Street, Suite 3500

Los Angeles, California 90013

(213) 892-5630

la-897688

PTO/SB/171 (11-04)

Approved for use through 7/31/2007. OMB 0851-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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<b>PROCESSING FEE</b> <b>Under 37 CFR 1.17(i)</b> <b>TRANSMITTAL</b> (Fees are subject to annual revision)  Send completed form to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Application Number	10/802,041
	Filing Date	June 23, 2003
	First Named Inventor	Yasuhiro CHONO
	Art Unit	2812
	Examiner Name	Jeffrie Lund
	Attorney Docket Number	199372005100

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Enclosed is a paper filed under 37 CFR 1.48 that requires a processing fee (37 CFR 1.17(i)).  
 Payment of \$ 130.00 is enclosed.  
 This form should be included with the above-mentioned paper and faxed or mailed to the Office using the appropriate Mail Stop, if applicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see form PTO/SB/17p.

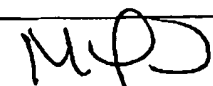
**Payment of Fees** (small entity amounts are NOT available for the petition fees)

- ☒ The Commissioner is hereby authorized to charge the following fees to Deposit Account No. 03-1952 :
- ☒ Processing fee under 37 CFR 1.17(i) ☒ Any deficiency of fees and credit of any overpayments
- Enclose a duplicative copy of this form for fee processing.
- ☐ Check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ Payment by credit card (Form PTO-2038 or equivalent enclosed). Do not provide credit card information on this form.

**Processing Fees under 37 CFR 1.17(i): Fee \$130**
**Fee Code 1808 for all,  
 Except for \$1.221 papers (Fee Code 1803)**

For papers filed under:

- § 1.28(c)(3) – for processing a non-itemized fee deficiency based on an error in small entity status.  
 § 1.41 – for supplying the name or names of the inventor or inventors after the filing date without an oath or declaration as prescribed by § 1.63, except in provisional applications.  
 § 1.48 – for correcting inventorship, except in provisional applications.  
 § 1.52(d) – for processing a nonprovisional application filed with a specification in a language other than English.  
 § 1.53(b)(3) – to convert a provisional application filed under § 1.53(c) into a nonprovisional application under § 1.53(b).  
 § 1.55 – for entry of late priority papers.  
 § 1.99(e) – for processing a belated submission under § 1.99.  
 § 1.103(b) – for requesting limited suspension of action, continued prosecution application (§ 1.53(d)).  
 § 1.103(c) – for requesting limited suspension of action, request for continued examination (§ 1.114).  
 § 1.103(d) – for requesting deferred examination of an application.  
 § 1.217 – for processing a redacted copy of a paper submitted in the file of an application in which a redacted copy was submitted for the patent application publication.  
 § 1.221 – for requesting voluntary publication or republication of an application. **Fee Code 1803**  
 § 1.291(c)(5) – for processing a second or subsequent protest by the same real party in interest.  
 § 1.497(d) – for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) naming an inventive entity different from the inventive entity set forth in the international stage.  
 § 3.81 – for a patent to issue to assignee, assignment submitted after payment of the issue fee.

  
 \_\_\_\_\_  
 Signature  
 Mehran Arjomand  
 Typed or printed name

\_\_\_\_\_  
 Date  
 January 3, 2007  
 48,231  
 Registration No., if applicable

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Dated: January 3, 2007

Signature: 

(Melody Y. Green)

la-897681

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JAN 03 2007

Docket No.: 199372005100  
(PATENT)

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Date: 1/3/07

Signature: **IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**In re Patent Application of:  
Yasuhiro CHONO et al.

Confirmation No.: 9511

Application No.: 10/602,041

Examiner: Jeffrie Robert Lund

Filed: June 23, 2003

Art Unit: 1763

For: SUBSTRATE PROCESSING SYSTEM AND  
SUBSTRATE PROCESSING METHOD**STATEMENT IN SUPPORT OF PETITION TO CORRECT INVENTORSHIP  
PURSUANT TO 37 C.F.R. § 1.48(a)**Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

I, Norihiro ITO, request to be deleted as an inventor in the above-identified application. The inclusion of my name as an inventor occurred through error and without deceptive intent on my part.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Date: December 25, 2006

  
Norihiro ITO

la-896718

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JAN 03 2007

Docket No.: 199372005100  
(PATENT)

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Date: 1/3/07Signature: 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Yasuhiko CHONO et al.

Confirmation No.: 9511

Application No.: 10/602,041

Examiner: Jeffrie Robert Lund

Filed: June 23, 2003

Art Unit: 1763

For: SUBSTRATE PROCESSING SYSTEM AND  
SUBSTRATE PROCESSING METHOD**CONSENT OF ASSIGNEE UNDER 37 C.F.R. § 1.48(a)**  
**TO CORRECT INVENTORSHIP**Mail Stop Petition  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Tokyo Electron Limited, is the assignee of the entire right, title and interest in the invention claimed in the above captioned U.S. Patent Application No. 10/602,041 by virtue of an assignment recorded at the U.S. Patent and Trademark Office on June 23, 2003 under Reel 014228 and Frame 0823. The undersigned, who is authorized to execute this Consent on behalf of Tokyo Electron Limited states that Tokyo Electron Limited, consents to the correction of inventorship to remove Norihiro ITO as a co-inventor.

Executed on December 25, 2006, at Tokyo-to, JAPAN.Signature: Printed Name: Hiroshi IshidaTitle: Director, Intellectual  
Property Department

la-896722

# 訳文証 (Translation/日本語訳)

# COPY

## Assignment

下記に署名した私/私達、  
は、

ある発明を創出し、これについて合衆国特許出願は

- ☐ ここに私/私達により署名され、  
☐ \_\_\_\_\_に私/私達により（それぞれ）署名され、  
☐ に出願され、出願番号が交付され、  
☐ PCT 国際出願 としてに出願され、

その発明は

For good and valuable consideration, the receipt of which is hereby acknowledged, I/WE, the undersigned,

Yasuhiro CHONO,

who have created a certain invention for which an application for United States Letters Patent

☐ executed by ME/US on even date herewith,

☐ executed by ME/US on \_\_\_\_\_

(respectively).

☒ filed on June 23, 2003 and assigned Serial No.

10/602,041.

☐ filed as International Application No.

filed on \_\_\_\_\_

and entitled:

## SUBSTRATE PROCESSING SYSTEM AND SUBSTRATE PROCESSING METHOD

という名称である。そして、ここにその受領を認める対価で：私/私達は、当該発明/出願について、合衆国とその属領及び全ての外国に於ける全般的かつ独占的な権利；合衆国とその属領及び全ての外国に於いて行使される特許証に関わる全ての権利、所有権、利益；一部譲渡出願、継続出願、分割出願、進管え出願、再発行出願、特許期間延長等、合衆国とその属領及び全ての外国に於いて既に出願されたか若しくは今後出願される特許に関わる全ての権利；そして、国際条約、同盟、契約、法令、協定（将来制定されるものを含む）に基づく全ての優先権を伴う一切の権利；を、日本国東京都港区新坂五丁目3番6号に住所を有する東京エレクトロン株式会社、その後継者、譲受人及び法定代理人に対して、売却、譲渡、移転するものとする。

Do hereby sell, assign and transfer to TOKYO ELECTRON LIMITED, a corporation of Japan, having a place of business at 3-6, Ahasaka 3-Chome, Minato-Ku, Tokyo-To, Japan, its successors, assigns, and legal representatives, the full and exclusive right to said invention and said application and to any and all inventions described in said application for the United States, its territorial possessions and all foreign countries, and the entire right, title and interest in and to any and all Letters Patent which may be granted therefor in the United States, its territorial possessions and all foreign countries; and in and to any and all continuations-in-part, continuations, divisions, substitutions, renewals, extensions thereof, and all other applications for Letters Patent relating thereto which have been or shall be filed in the United States, its territorial possessions and/or any foreign countries, and all rights, together with all priority rights, under any of the international conventions, unions, agreements, acts, and treaties, including all future conventions, unions, agreements, acts, and treaties;

さらに、私/私達は、東京エレクトロン株式会社（以下譲受人と言う）が単独ないしは複数の当該発明（以下当該発明という）に関わる特許権を、自己の名により、合衆国とその属領及び全ての外国に於いて出願し、特許を受けること；またこの特許証の意図と目的を誠実に実行することを求められた場合、下記に署名した私/私達が、当該譲受人、その後継者、その被譲渡者、及び法定代理人の費用負担にて、一部譲渡出願、継続出願、分割出願、進管え出願、再発行出願、特許期間延長等を行い、合法的宣誓書、譲渡証、委任状等の文書を作成し、あらゆる法的または法外的訴訟手続に於いて証言を行うこと；当該発明とその権利に関連して、下記に署名した私/私達が知り得た全ての事実を、当該譲受人、後継者、被譲渡者、及び法定代理人に通報すること；そして当該譲受人、後継者、被譲渡者、及び法定代理人が、当該発明の特許権の適切な保護、維持、権利行使するために望ましいと考慮すること、また、当該発明に関わる特許出願に際し、当該譲受人、後継者、被譲渡者、及び法定代理人に対して法的権限を付与することが望ましいと考慮することについて、可能な限り行うことを承諾する。

Agree that TOKYO ELECTRON LIMITED, hereinafter referred to as Assignee, may apply for and receive Letters Patent for said invention and said inventions, hereinafter referred to as said invention, in its own name, in the United States, its territorial possessions, and all foreign countries; and that, when requested to carry out in good faith the intent and purpose of this assignment, at the expense of said Assignee, its successors, assigns and legal representatives, the undersigned will execute all continuations-in-part, continuations, divisions, substitutions, renewals, extensions thereof, execute all rightful oaths, assignments, powers of attorney and other papers, testify in any legal or quasi legal proceedings; communicate to said Assignee, its successors, assigns or legal representatives all facts known to the undersigned relating to said invention and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or legal representatives shall consider desirable for aiding in securing, maintaining and enforcing proper patent protection for said invention and for vesting title to said invention and all applications for patents on said invention in said Assignee, its successors, assigns, or legal representatives; and

そして、私/私達は、この書面により譲渡された権利や財産に影響する、如何なる譲渡、授権、抵当権、ライセンス等その他の協定も他の第三者との間で行っていないこと；下記に署名した私/私達によって、この書面に記載されている権利が所有されていることを、当該譲受人、後継者、被譲渡者、及び法定代理人に対して誓約するものである。

さらに、下記に署名した私/私達はこの譲渡書は英語の部分の表現によってのみ解釈されることに同意する。

上記を証明するため、私/私達は下記日付で署名する。

Covenant with said Assignee, its successors, assigns, or legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

I/WE, the undersigned do further agree that this Assignment is to be construed solely according to the terms of the English language portions thereof.

IN TESTIMONY WHEREOF I/WE have hereunto set MY/OUR signature seal on the date indicated below.

唯一または第一発明者名		Full name of sole or first inventor Yasuhiro CHONO	
発明者の署名	日付	Inventor's signature <i>Yasuhiro Chono</i>	Date <i>December 26, 2006</i>



PTO/SB/108 (3-96)

Approved for use through 8/30/98 OMB 0551-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Attorney's Ref. No.:

## Declaration and Power of Attorney For Patent Application

## 特許出願宣言書及び委任状

## Japanese Language Declaration

## 日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

下記の名称の発明について特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私が最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない:

☐ \_\_\_\_\_の日に出版され、  
この出版の米国出版番号またはPCT国際出版番号は、  
\_\_\_\_\_であり、且つ  
\_\_\_\_\_の日に補正された出版（該当する場合）

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

the specification of which is attached hereto unless the following box is checked:

☒ was filed on June 23, 2003  
as United States Application Number or  
PCT International Application Number  
10/602,041 and was amended on  
(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Burdan Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of Patents and Trademarks, Washington, DC 20231

PTO/58/106 (9-98)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## Japanese Language Declaration

(日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日より前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願日/月/年)
2002-185992	Japan	28/June/2002

Priority Not Claimed  
優先権主張なし

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編第119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)
-----------------------------	------------------------	-----------------------------	------------------------

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が米国法典第35編第112条第1段に規定された態様で、先行する米国特許出願又はPCT国際出願に開示されていない場合には、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第97編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況: 特許許可、保属中、放棄)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況: 特許許可、保属中、放棄)

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